I. Contracts For Meeting of the Panel for Educational Policy - Thursday, November 19, 2015

Multiple Task Award Contract Process (MTAC)

Description	Estimated Highest Annual Spending	Estimated Total Spending	Contract Term
Literacy professional development services.	$4,460,000	$22,300,000	5 years
Math professional development services for teachers, leaders, coaches, administrators and support staff.	$30,000	$150,000	5 years
Professional development and direct student services for teaching elementary, middle, and high school sciences and STEM (science, technology, engineering and math) education.	$60,000	$300,000	5 years
Professional development for school leaders and teachers in instructional strategies, student-center academic counseling services, sustainable leadership, quality teaching, and student achievement.	$30,000	$150,000	5 years

Negotiated Services (NS)

Description	Estimated Highest Annual Spending	Estimated Total Spending	Contract Term
Full-Day Universal Pre-Kindergarten Services-withdrawn	TBD	TBD	3 years
Negotiated Services Universal Prekindergarten Service - withdrawn	TBD	TBD	3 Years
Training for parent leaders as specified in State law 25-90C.	$58,000	$58,000	6 Months
Universal Pre-Kindergarten Service for Full Day-withdrawn	TBD	TBD	3 Years

Amendment (AMD)

Description	Estimated Highest Annual Spending	Estimated Total Spending	Contract Term
Add-on: Amended RA to include start-up allocations for facilities and permits to support UPK FD services.	$16,000	$16,000	3 Years
Add-on: Amended RA to include start-up allocations for facilities and permits to support UPK FD services.	$74,250	$74,250	3 Years

Extension 4-07(b) (EXT4-07(B))

Description	Estimated Highest Annual Spending	Estimated Total Spending	Contract Term
Banking services for custodial engineers for the purchase of cleaning supplies and payment to custodial employees	$385,000	$385,000	1 yr

Sole Source Goods (SOG)

Description	Estimated Highest Annual Spending	Estimated Total Spending	Contract Term
Apple Computer	$35,000,000	$105,000,000	3 years

Innovative Procurement Method (IPM)

Description	Estimated Highest Annual Spending	Estimated Total Spending	Contract Term
Conversion of half dat to full day UPK services - withdrawn	TBD	TBD	1 Year
Half day universal pre-kindergarten services.	$1,162,453.30	$1,162,453.30	1 Year
Head start full day services for universal pre-kindergarten.	$382,147.68	$1,146,443.04	3 years
Universal pre-kindergarten full day services.	$651,800.00	$1,893,800.00	3 years

I. Contracts For Meeting of the Panel for Educational Policy - Thursday, November 19, 2015

Purchases Through Governmental Contracts (other than OGS) (PGC)

Description	Estimated Highest Annual Spending	Estimated Total Spending	Contract Term
Automated External Defibrillators (AED) and accessories for schools.	$1,200,000	$6,000,000	5 years

II. Contracts For Meeting of the Panel for Educational Policy - Wednesday, December 16, 2015

Request for Proposals (RFP)

Description	Estimated Highest Annual Spending	Estimated Total Spending	Contract Term
A web-based storefront that will offer schools a comprehensive shopping source for e-books to be accessed by students on multiple types of electronic devices.	$17,200,000	$30,000,000	3 years
Development, programming and support of data reporting websites for Targeted Achievement Accountability Reporting Information System (TAARIS) data management modules.	$420,495	$1,860,015	5 years

Multiple Task Award Contract Process (MTAC)

Description	Estimated Highest Annual Spending	Estimated Total Spending	Contract Term
Literacy professional development services (RA#6).	$4,100,000	$20,500,000	5 Years
Special education professional development services. (RA# 6)	$1,000,000	$5,000,000	5 years

Competitive Sealed Bid (RFB)

Description	Estimated Highest Annual Spending	Estimated Total Spending	Contract Term
IT hardware, networking equipment, servers and extended warranties for schools and central offices.	$36,917,046	$184,585,230	5 Years

Negotiated Services (NS)

Description	Estimated Highest Annual Spending	Estimated Total Spending	Contract Term
Graduate courses in administration and supervision that will enable non-public school (NPS) principals to obtain School Building Leader (SBL) certification.	$3,210,669	$12,842,676	4 years
Negotiated Services Universal Prekindergarten Service	TBD	TBD	2 1/2 years
Professional development for school leaders and Executive Leadership Institute programs.	$1,770,000	$5,310,000	One Year
Training developed by Dr. Karen Mapp for professional development sessions on family engagement	$275,000	$275,000	1 year
Vision screening services for Community School students.	$200,000	$200,000	6 Months
Whole School reform with blended funding from an NYSED Grant as well as Tax Levy.	$905,300	$905,300	1 year

Amendment (AMD)

Description	Estimated Highest Annual Spending	Estimated Total Spending	Contract Term
Amendment to a contract with United Way to add funding to cover the delivery of mental health services.	$17,204,508	$51,612,524	3 Years
Conversion of half day to full day UPK services.	TBD	TBD	1 year

Extension 4-07(b) (EXT4-07(B))

Description	Estimated Highest Annual Spending	Estimated Total Spending	Contract Term
2 year contract extension of Education Logistics contract for maintenance of routing software for general and special education pupil transportation	$33,333	$66,666	2 years

II. Contracts For Meeting of the Panel for Educational Policy - Wednesday, December 16, 2015

Extension 4-07(b) (EXT4-07(B))

Description	Estimated Highest Annual Spending	Estimated Total Spending	Contract Term
Extend two contracts to provide professional development at transfer schools and programs at non-transfer schools that serve transfer students to meet the learning needs of over-age and under-credited students	$266,849	$266,849	One Year
Recording, reporting and transcription services for impartial due process hearings, conferences, and/or trials administered by the Impartial Hearing Office.	$900,000	$900,000	6 Months

Listing App Text Books (LAT)

Description	Estimated Highest Annual Spending	Estimated Total Spending	Contract Term
Kits which include curriculum of textbooks, workbooks and flash cards for writing	$26,000	$182,000	Seven Years
Textbooks and ancillary materials	$195,000	$1,365,000	7 years
Textbooks and ancillary materials	$500,000	$3,500,000	7 years
Textbooks and ancillary materials	$66,000	$462,000	seven years
Textbooks and ancillary materials	$800,000	$5,600,000	Seven Years
Textbooks and teacher guides	$26,000	$182,000	Seven years
Textbooks, workbooks, and teacher guides	$121,600	$851,200	Seven years
Textbooks, workbooks, and teacher guides	$51,616	$361,312	Seven years

Sole Source Goods (SOG)

Description	Estimated Highest Annual Spending	Estimated Total Spending	Contract Term
ESRI mapping software	$30,000	$134,919	4 1/2 Years

Innovative Procurement Method (IPM)

Description	Estimated Highest Annual Spending	Estimated Total Spending	Contract Term
Full- Day Universal Pre-Kindergarten Services	TBD	TBD	3 years
Half day Universal Pre-kindergarten services	TBD	TBD	1 Year
Head Start Full Day Services for Universal Pre-Kindergarten.	TBD	TBD	3 Years
Universal Pre-Kindergarten Service for Full Day	TBD	TBD	3 Years
Universal Pre-kindergatern Full Day Services.	TBD	TBD	3 Years

Listing App Software (LAS)

Description	Estimated Highest Annual Spending	Estimated Total Spending	Contract Term
Educational software for schools.	$158,000	$1,106,000	7 years
Educational software for the schools	$49,000	$343,000	7 Years

City Contract (Other than Intracity) (X)

Description	Estimated Highest Annual Spending	Estimated Total Spending	Contract Term
Purchase, rent and maintain mailing machines, scales, postage meters and associated products	$752,000	$3,760,000	5 Years

III. Contracts For Meeting of the Panel for Educational Policy - Wednesday, January 20, 2016

Request for Proposals (RFP)

Description	Estimated Highest Annual Spending	Estimated Total Spending	Contract Term
Updating of data networks at schools and central offices based on DOE networking standards.	TBD	TBD	5 Years
Voice and data services to schools.	TBD	TBD	5 Years

Multiple Task Award Contract Process (MTAC)

Description	Estimated Highest Annual Spending	Estimated Total Spending	Contract Term
Professional development for instructional technology services to assist with integrating computer technology into the instructional program (RA#2).	$60,000	$300,000	5 years
Professional development services in special education	$1,050,000	$5,250,000	5 years
Professional development services in special education.	$1,030,000	$5,150,000	5 years
Social Studies professional development and direct student services. (RA #3)	$60,000	$300,000	5 years
Student Support Services RA# 19	$90,000	$450,000	5 years
Student Support Services RA#17	$60,000	$300,000	5 years
Student Support Services RA#18	$90,000	$450,000	5 years

Competitive Sealed Bid (RFB)

Description	Estimated Highest Annual Spending	Estimated Total Spending	Contract Term
Labor, material, and supervision required to test, maintain and/or install pneumatic air compressors, air-receiving tanks and associated systems.	TBD	TBD	5 years
Labor, materials, and supervision required to perform electrical work in school cafeterias.	TBD	TBD	5 yrs
Recording, reporting, transcription and related services for hearings held by the Impartial Hearing Office.	TBD	TBD	5 years
Repair and maintenance services for cafeteria and kitchen equipment	TBD	TBD	5 Years

Negotiated Services (NS)

Description	Estimated Highest Annual Spending	Estimated Total Spending	Contract Term
Resources for schools, teachers, students and student's families to support science investigation and expanded science knowlesge.	$6,500,000	$6,500,000	1 year

Amendment (AMD)

Description	Estimated Highest Annual Spending	Estimated Total Spending	Contract Term
Amendment to a contract for evaluation services for after school and youth development programs funded by the 21st Century Community Learning Centers Grant.	$706,970	$706,970	1 Year

Listing App Text Books (LAT)

Description	Estimated Highest Annual Spending	Estimated Total Spending	Contract Term
Textbooks and ancillary materials	$146,000	$1,022,000	Seven years

III. Contracts For Meeting of the Panel for Educational Policy - Wednesday, January 20, 2016

Listing App Text Books (LAT)

Description	Estimated Highest Annual Spending	Estimated Total Spending	Contract Term
Textbooks and ancillary materials	$237,000	$1,659,000	7 years

Sole Source Goods (SOG)

Description	Estimated Highest Annual Spending	Estimated Total Spending	Contract Term
HVAC training materials	$100,000	$100,000	1 Yr

Sec1-03(c) City Council (CIC)

Description	Estimated Highest Annual Spending	Estimated Total Spending	Contract Term
Anti-Gun Violence Prevention Initiative	$80,000	$80,000	1 Year
Anti-gun violence prevention services	$120,000	$120,000	1 Year
City Council allocation for anti-gun violence prevention initiative.	$40,000	$40,000	1 year
City Council allocation for conflict resolution, violence prevention and youth development.	$80,000	$80,000	1 Year
City Council allocation for violence prevention, conflict resolution and youth development.	$80,000	$80,000	1 Year
City Council funded dropout prevention and intervention services in NYC High Schools	$167,000	$167,000	1 Year
Conflict resolution and anti-gun violence intervention.	$40,000	$40,000	1 Year
Conflict resolution and peer mediation funded by City Council for two schools in District 21, Brooklyn.	$80,000	$80,000	1 Year

Sec 1-03(b) Vendor Named in Grant (1-03(b))

Description	Estimated Highest Annual Spending	Estimated Total Spending	Contract Term
Title IIB grant to support the Math and Science Partnership	TBD	TBD	3 Year
Vendor was awarded as part of the 21st Century grant from NYSED to provide professional development to K095 & K228 in District 21.	$32,750	$32,750	1 year
Vendor will provide sports-based youth development programs in 3 schools under the 21st CCLC grant	$55,000	$55,000	1 Year

City Contract (Other than Intracity) (X)

Description	Estimated Highest Annual Spending	Estimated Total Spending	Contract Term
Extension of the Westlaw contract to provide web-based comprehensive legal research.	$100,000	$100,000	10 Months

IV. Contracts For Meeting of the Panel for Educational Policy - Wednesday, February 24, 2016 or later

Description	Estimated Highest Annual Spending	Estimated Total Spending	Contract Term

Request for Proposals (RFP)

Description	Estimated Highest Annual Spending	Estimated Total Spending	Contract Term
Consolidate seven-node SONET design to a more efficient four-node design.	TBD	TBD	3 years
Evaluate mechanical and electrical conditions, offer repair methods, estimate costs for remediation work, and provide reports.	TBD	TBD	5 Year
Routine structural assessment report and sub-surface investigations at three locations affiliated with the Harbor School.	TBD	TBD	3 years
Special inspection services for maintenance and construction work performed by the NYCDOE on owned and leased properties citywide.	TBD	TBD	5 years

Multiple Task Award Contract Process (MTAC)

Description	Estimated Highest Annual Spending	Estimated Total Spending	Contract Term
Arts education services	$85,000	$425,000	5 years
Professional Development for arts education	$30,000	$150,000	5 years
Professional development for arts education.	$90,000	$450,000	5 years
Refreshing and updating of data networks at schools and central offices; maintenance and installation of surveillance equipment in and around schools and central offices.	TBD	TBD	3 Years
Safe & Supportive Schools	$30,000	$150,000	5 years
Whole School Reform services.	$50,000	$250,000	5 years

Competitive Sealed Bid (RFB)

Description	Estimated Highest Annual Spending	Estimated Total Spending	Contract Term
Classroom and art supplies.	TBD	TBD	5 years
Custodial supplies to facilities throughout New York City under the jurisdiction of the Department of Education	TBD	TBD	5 yrs
Financial/banking institutions to establish and maintain approximately 950 e-bank accounts for school custodian engineers to facilitate expenditures for custodian supplies citywide.	TBD	TBD	5 years
Inspection and management of Asbestos Containing Building Materials (ACBM) in all DOE buildings, as mandated by the U.S. Environmental Protection Agency .	TBD	TBD	5 Years
Labor and materials required to repair and service security systems.	TBD	TBD	5 years
Labor and materials required to repair oil, gas and dual fuel burners	TBD	TBD	5 years
Labor, equipment and supervision for the provision of qualified persons holding F-01 Certificate of Fitness and/or the FDNY Certificate of Fitness for F-03 Public Assembly Safety Personnel	TBD	TBD	5 years
Labor, material and supervision required to maintain and install electrical systems.	TBD	TBD	5 Years

IV. Contracts For Meeting of the Panel for Educational Policy - Wednesday, February 24, 2016 or later

Competitive Sealed Bid (RFB)

Description	Estimated Highest Annual Spending	Estimated Total Spending	Contract Term
Labor, material needed to temporarily remove all the furniture and materials from schools to dispose of the damaged areas of the existing wood flooring.	TBD	TBD	5 years
Labor, materials, and supervision necessary for inspecting, testing, servicing, and maintaining standpipe and sprinkler systems, which function as part of the fire safety system.	TBD	TBD	5 years
Provide and maintain the Dark Fiber infrastructure needed for the connectivity to schools and administrative offices for online instructional and administrative applications.	TBD	TBD	10 Years
Removal of asbestos-containing material (ACM) and replacement with non-ACM including encapsulation, sealing, structural containment, removal, and replacement of floor/ceiling tiles and pipe fittings, boilers and duct work insulation.	TBD	TBD	5 Year

Assignment (ASG)

Description	Estimated Highest Annual Spending	Estimated Total Spending	Contract Term
CBOs to support the implementation of a community school approach that includes community school coordination, parent and community engagement, expanded learning and enrichment activities, and mental health services.	$26,086,547	$52,173,094	7/1/16-6/30/18

Panel for Educational Policy
Contract Agenda
November 19, 2015

Item: 1 **Description:** Literacy professional development services.

Term: 5 years **Options:** None **Funding:** Various

Division: Teaching and Learning **Contract Type:** Requirements

Vendor Name	Estimated Annual Amount
Bank Street College	$30,000
EL Education, Inc.	$30,000
Generation Ready	$4,400,000

Item: 2 **Description:** Math professional development services for teachers, leaders, coaches, administrators and support staff.

Term: 5 years **Options:** None **Funding:** Various

Division: Teaching and Learning **Contract Type:** Requirements

Vendor Name	Estimated Annual Amount
Carnegie Learning	$30,000

Item: 3 **Description:** Professional development and direct student services for teaching elementary, middle, and high school sciences and STEM (science, technology, engineering and math) education.

Term: 5 years **Options:** None **Funding:** Various

Division: Teaching and Learning **Contract Type:** Requirements

Vendor Name	Estimated Annual Amount
16 Hertz, LLC	$30,000
Bank Street College	$30,000

Item: 4 **Description:** Professional development for school leaders and teachers in instructional strategies, student-center academic counseling services, sustainable leadership, quality teaching, and student achievement.

Term: 5 years **Options:** None **Funding:** Various

Division: Teaching and Learning **Contract Type:** Requirements

Vendor Name	Estimated Annual Amount
EL Education, Inc.	$30,000

Item: 5 Withdrawn

Item: 6 **Description:** Training for parent leaders as specified in State law 25-90C.

Term: 6 Months **Options:** None **Funding:** Tax Levy

Division: Division of Family and Community Engagement **Contract Type:** Full Value

Vendor Name	Annual Amount
DKBWave Training and Consulting	$58,000

Item: 7 Withdrawn

Item: 8 **Description:** Banking services for custodial engineers for the purchase of cleaning supplies and payment to custodial employees

Term: 1 yr **Options:** 0 **Funding:** Tax Levy

Division: Division of School Facilities **Contract Type:** Requirements

Vendor Name	Estimated Annual Amount
HSBC Bank, USA	$385,000

Item: 9 **Description:** Apple Computer

Term: 3 years **Options:** no **Funding:** Tax Levy

Division: Div. of Instructional & Information Technology **Contract Type:** Requirements

Vendor Name	Estimated Annual Amount
Apple Computer	$35,000,000

Item: 10 Withdrawn

Item: 11 **Description:** Half day universal pre-kindergarten services.

Term: 1 Year **Options:** 1 year option **Funding:** NYSED

Division: Division of Early Childhood Education **Contract Type:** Requirements

Vendor Name	Estimated Annual Amount
Associated Beth Rivkah Schools	$312,160.00
Baais Yaakov Faigeh Schonberger of Adas Yereim - Withdrawn	$51,781.60
Cong. Machne Chaim, Inc	$55,035.50
Leake & Watts Services, Inc.	$97,550.00
Magen David Yeshiavah	$423,844.00
Masores Bais Yaakov	$222,082.20

Item: 12 **Description:** Head start full day services for universal pre-kindergarten.

Term: 3 years **Options:** 2 year option **Funding:** NYSED

Division: Division of Early Childhood Education **Contract Type:** Requirements

Vendor Name	Estimated Annual Amount
Bedford Stuyvesant Early Childhood Development Center Head Start	$23,215.14
Bedford Stuyvesant Early Childhood Development Center Head Start	$64,554.00
BIRCH FAMILY SERVICES INC.	$16,777.08
BIRCH FAMILY SERVICES INC.	$37,772.00
CATHOLIC CHARITIES NEIGHBORHOOD SERVICES INC.	$132,856.20
CATHOLIC CHARITIES NEIGHBORHOOD SERVICES INC.	$54,124.00

Item: 12 **Description:** Head start full day services for universal pre-kindergarten.

Term: 3 years **Options:** 2 year option **Funding:** NYSED

Division: Division of Early Childhood Education **Contract Type:** Requirements

Vendor Name	Estimated Annual Amount
NORTHSIDE CENTER FOR CHILD DEVELOPMENT, INC.	$52,849.26

Item: 13 **Description:** Universal pre-kindergarten full day services.

Term: 3 years **Options:** 2 one year or 1 two year **Funding:** NYSED

Division: Division of Early Childhood Education **Contract Type:** Requirements

Vendor Name	Estimated Annual Amount
GLORIOUS AND GIFTED EARLY CHILDHOOD CENTER INC.	$219,722.00
Yeshiva of Central Queens	$432,078.00

Item: 14 Withdrawn

Item: 15 **Description:** Automated External Defibrillators (AED) and accessories for schools.

Term: 5 years **Options:** none **Funding:** Tax Levy

Division: Office of School Health **Contract Type:** Requirements

Vendor Name	Estimated Annual Amount
Philips Electronics North America	$1,200,000

Item: 16 **Description:** Add-on: Amended RA to include start-up allocations for facilities and permits to support UPK FD services.

Term: 3 Years **Options:** 2 one year or 1 two year **Funding:** Tax Levy

Division: Division of Early Childhood Education **Contract Type:** Requirements

Vendor Name	Estimated Annual Amount
Congregation Machna Shalva	$58,250
One World Project - Brooklyn, Inc.	$16,000

Item: 17 **Description:** Add-on: Amended RA to include start-up allocations for facilities and permits to support UPK FD services.

Term: 3 Years **Options:** 2 one year or 1 two year **Funding:** Tax Levy

Division: Division of Early Childhood Education **Contract Type:** Requirements

Vendor Name	Estimated Annual Amount
D'Little Star Daycare, Inc	$16,000

Item: 1

Description:

Literacy professional development services.

REQUEST FOR AUTHORIZATION (RA#5) FOR LITERACY PROFESSIONAL DEVELOPMENT (MTAC R0996)

Estimated Annual / Total Contract Amount	Funding Source	Contract Term	Options	Procurement Method	Is Contract Retroactive?	Contract Type
$4,460,000 / $22,300,000	Tax Levy & Reimbursable Funds	Five Years	None	Multiple Task Award Contract (MTAC)	No	Requirements

Vendor Names & Addresses	See Table B below.

Service Requestor / Contract Manager	Division of Contracts and Purchasing Contact
Jessica Kaplan Deputy Executive Director Office of Curriculum, Instruction & Professional Development Division of Teaching and Learning	Bryan E Hester Procurement Analyst Instructional Service Procurement

PURPOSE

Authorization is requested on behalf of the Division of Teaching and Learning to contract with the vendors listed below to provide systemwide literacy professional development services. These services will be provided at the discretion of participating schools, central offices operating on behalf of schools, or consortiums of schools.

DISCUSSION

To help ensure that its students achieve the higher standards in literacy that adoption of Common Core Learning Standards (CCLS) requires, the DOE must acquire professional development services for its teachers, leaders, coaches, administrators, and support staff. These services will increase literacy content and pedagogical knowledge, support the implementation of CCLS, and align resources to improve student achievement. These services will also support schools and learning communities as they plan and conduct effective instruction and professional development in literacy.

Contracted vendors will provide high-quality, needs-based, and CCLS-aligned professional development and support instruction for teachers and other staff covering kindergarten through 12th grade. Services will focus on literacy content, pedagogy, citywide core curriculum programs, research, and best practices and will include institutes, workshops, seminars, coursework, conferences, walk-throughs, and intervisitations.

It is necessary to contract for these services because the DOE does not possess the expertise necessary to meet the objectives of this program.

In response to the solicitation, vendors proposed for one or both of these focus areas: 1) Literacy Professional Development and 2) Literacy Consultants/Coaches.

Proposals were evaluated by a minimum of three evaluators. The evaluation committees included a principal, a teacher, senior instructional coaches, and program directors. Proposals were scored based on program plan (25 points), organizational capacity (25 points), pricing (25 points), and demonstrated effectiveness (25 points). Successful vendors were required to achieve a minimum score of 80 points.

Thirteen vendors were recommended for contract awards under previous RAs and three are recommended here.

The recommended vendors' services introduce shifts in pedagogy and provide direction on efficient and effective ways to use student data to inform instructional practices and improve student achievement. Professional development offerings will provide specific support to schools and teachers for implementing all or some components of reading and other components of literacy instruction in ELA and content area classrooms, including foundational literacy skills. Program delivery methods include customized workshops, support, and coaching.

Table A shows the proposed and negotiated average hourly rates for each vendor recommended here for award. The negotiated rates range from $189 for EL Education's professional learning and coaching focused on best instructional practices and standards-aligned ELA Literacy curriculum implementation for grades 3-8, to $491 for Generation Ready's menu of seminars, workshops, study groups and mini-courses to augment ongoing job-embedded on-site PD and coaching aimed at improving teacher effectiveness and student outcomes in grades K-12. EL Education uses a combination of research-based individual and small-group sessions, demonstration lessons, and engagement strategies for both students and teachers, while Generation Ready's professional learning is delivered through network and school-based training sessions and the use of proprietary Ready Path planning and progress monitoring tools to encourage teacher-leader collaboration. Both vendor offerings stress the use of data to inform rigorous best instructional practices for diverse learners.

Negotiations resulted in an average cost savings of approximately 27 percent in program hourly rates. The prices offered by all three vendors have been determined to be fair and reasonable based on a comparison with hourly rates for like services by vendors contracted under this solicitation.

TABLE A. HOURLY RATES FOR RECOMMENDED VENDORS

Awarded Vendor	Original Average Hourly Rate	Negotiated Average Hourly Rate
EL Education, Inc.	$321	$189
Generation Ready, Inc.	$649	$491
Bank Street College of Education	$286	$243

The estimated annual contract amount for the Generation Ready, Inc. was derived from their previous contract expenditures for these types of services. EL Education, Inc. and Bank Street are new vendors for these services with an estimated minimum amount for a requirements contract of $30,000.

Background checks for all three vendors included VENDEX, the Uniform Commercial Code, the Federal Tax Lien, and the System for Award Management. The following are noteworthy findings for Generation Ready, Inc. / Editure / Australian United States Services in Education (AUSSIE):

- In 2010, AUSSIE was debarred by the New York State Workers' Compensation Board (WCB) for not being properly insured. The vendor's insurance provider furnished proof to the WCB that the vendor did have the proper coverage and the penalties and debarment were rescinded.
- In 2007, AUSSIE was investigated by the Special Commissioner of Investigation (CSI) in relation to the submission of falsified requests for payment. AUSSIE cooperated with the investigation,

created a corrective action plan that was accepted by the DOE, and removed the individuals involved from work in the New York City schools.

- AUSSIE has had numerous audits by the IRS including some with significant penalties attached to them; however, the vendor currently does not have any outstanding debts owed to NYC, NYS, or the IRS.

In light of the resolution of the aforementioned matters, the DOE does not believe that the above matters should preclude a determination of an award.

All three vendors have therefore been determined to be responsible to provide approved services.

The MTAC process is the preferred procurement method as this process allows the DOE to award contracts for similar services to multiple vendors in order to meet demand for such services and to offer a choice among vendors.

As a part of the MTAC process, all service requests over $25,000 must go through a competitive process for procurement of services. Users will be required to create a scope of services and conduct a mini-solicitation process among the awarded vendors in their component areas. Vendors will be required to provide a statement of work and pricing based on their contracted services, which will be evaluated and scored by the user. Upon completion of this mini-solicitation process, schools will be able to secure services via purchase order. This process is to ensure competition among the large number of vendors who have been awarded contracts as a result of this procurement. Future RAs for these services will be submitted in batches as new providers are presented for award of contracts.

TABLE B. ESTIMATED CONTRACT AMOUNTS FOR RECOMMENDED VENDORS

Vendor's Name & Address	Focus Area(s)	Estimated Annual / Total Amount
EL Education, Inc. 247 West 35th Street, 8th Floor New York, NY 10001	1, 2	$30,000 / $150,000
Generation Ready, Inc. 352 Seventh Avenue, #12A New York, NY 10001	1, 2	$4,400,000 / $22,000,000
Bank Street College of Education 610 112th Street New York, NY 10025	1, 2	$30,000 / $150,000

Item: 2

Description:

Math professional development services for teachers, leaders, coaches, administrators and support staff.

REQUEST FOR AUTHORIZATION FOR MATH-RELATED SERVICES AND PROFESSIONAL DEVELOPMENT (MTAC R1026 RA#5)

Estimated Annual / Total Contract Amount	Funding Source	Contract Term	Options	Procurement Method	Is Contract Retroactive?	Contract Type
$30,000 / $150,000	Tax Levy & Reimbursable Funds	Five Years	None	Multiple Task Award Contract (MTAC)	No	Requirements

Vendor's Name & Address	Component
Carnegie Learning, Inc. 437 Grant Street, 19th Floor Pittsburgh, PA 15224	1

Service Requestor / Contract Manager	Division of Contracts and Purchasing Contact
Jessica Kaplan Deputy Executive Director Office of Curriculum, Instruction & Professional Learning Division of Teaching and Learning	Bryan E Hester Procurement Analyst Instructional Service Procurement

PURPOSE

Authorization is requested on behalf of the Division of Teaching and Learning to contract with Carnegie Learning, Inc. to provide systemwide mathematics-related professional development services. These services will be provided at the discretion of participating schools, central offices operating on behalf of schools, or consortiums of schools.

DISCUSSION

To help ensure that its students achieve the higher standards in mathematics that adoption of Common Core Learning Standards (CCLS) requires, the DOE must acquire professional development services for its teachers, leaders, coaches, administrators, and support staff. These services will increase math content and pedagogical knowledge, support the implementation of CCLS, and align resources to improve student achievement. These services will also support schools and learning communities as they plan and conduct effective instruction and professional development in math.

Vendors will provide high-quality, needs-based, and CCLS-aligned professional development and support instruction for teachers and other staff covering prekindergarten through 12th grade. Services will focus on math content, pedagogy, Citywide core curriculum programs, cognitive development, research, and best practices, and will include institutes, workshops, seminars, coursework, conferences, walk-throughs, and inter-visitations.

Vendors proposed for one or more of the following components: 1) Mathematics Professional Development and 2) Mathematics Consultants/Coaches.

Proposals were evaluated by a minimum of three evaluators. The evaluation committees included a principal, teacher, senior instructional coaches, achievement coaches, and program directors. Proposals were scored based on program plan (25 points), organizational capacity (25 points), pricing (25 points), and demonstrated effectiveness (25 points). Successful vendors were required to achieve a minimum score of 80 points.

Twelve vendors were recommended for contract awards under four previous RAs and one is recommended here.

Carnegie Learning, Inc.'s professional development services and grade-level curriculum modules introduce shifts in pedagogy and provide direction on ways to use student data to inform both short- and long-term planning and instructional decision-making to improve teacher effectiveness and academic outcomes for diverse learners. Programs are designed to build the conceptual understanding, procedural fluency, strategic competence, and adaptive reasoning specified by the CCLS. They are customizable and provide a blend of on-site implementation workshops, in-classroom side-by-side training, and live and on-demand online support for teachers and administrators

Carnegie Learning's average hourly pricing was negotiated from $312 per hour to $162 per hour for a 48 percent price improvement. Carnegie Learning's pricing has been determined to be fair and reasonable based on a comparison with hourly rates for like services by vendors contracted under this solicitation.

The estimated contract amount is based on the minimum amount for new vendors for requirements contracts of $30,000.

It is necessary to contract for these services because the DOE does not possess the expertise necessary to meet the objectives of this program.

Background checks, including VENDEX, the Vendor CIP Report, the Uniform Commercial Code, the Federal Tax Lien, and the System for Award Management, found no significant adverse information for the prime vendor Carnegie Learning, Inc. VENDEX does, however, list the following cautions for Carnegie's parent company, Apollo Education Group, and its affiliate the University of Phoenix:

Apollo Education Group:

On April 19, 2012, the Division of Enforcement of the SEC requested documents and information relating to certain stock sales by company insiders and the filing of Form 8-K on February 28, 2012, in which the company announced that new degree enrollment growth at University of Phoenix was less than previously expected. This case is ongoing.

University of Phoenix:

- On October 22, 2010, the State of Florida Office of the Attorney General in Fort Lauderdale began an investigation into possible unfair and deceptive trade practice associated with certain alleged practices of University of Phoenix. This case is ongoing.
- On May 13, 2011, the State of Massachusetts Office of the Attorney General began a civil investigation of possible unfair or deceptive methods, acts, or practices by for-profit educational institutions in connection with the recruitment of students and the financing of education. This case is ongoing.
- On August 1, 2011, the Attorney General of the State of Delaware began an investigation regarding students at the University of Phoenix residing in Delaware. This case is ongoing.

The DOE does not believe that these matters are relevant to the purchase of the aforementioned services, and should not preclude an award of contract. The vendor has therefore been determined to be responsible to provide approved services.

The MTAC process is the preferred procurement method as this process allows the DOE to award contracts for similar services to multiple vendors in order to meet demand for such services and to offer a choice among vendors.

As a part of the MTAC process, all service requests over $25,000 must go through a competitive process for procurement of services. Users will be required to create a scope of services and conduct a mini-solicitation process among the awarded vendors in their component areas. Vendors will be required to provide a statement of work and pricing based on their contracted services, which will be evaluated and scored by the user. Upon completion of this mini-solicitation process, schools will be able to secure services via purchase order. This process ensures competition among the large number of vendors who have been awarded contracts as a result of this procurement. Future RAs for these services will be submitted in batches as new providers are presented for award of contracts.

Item: 3

Description:

Professional development and direct student services for teaching elementary, middle, and high school sciences and STEM (science, technology, engineering and math) education.

REQUEST FOR AUTHORIZATION (RA#6) FOR SCIENCE PROFESSIONAL DEVELOPMENT AND STEM EDUCATION SERVICES (MTAC R1033)

Estimated Annual / Total Contract Amount	Funding Source	Contract Term	Options	Procurement Method	Is Contract Retroactive?	Contract Type
$60,000 / $300,000	Tax Levy & Reimbursable Funds	Five Years	None	Multiple Task Award Contract (MTAC)	No	Requirements

Vendor Names & Addresses	See Table B below.

Service Requestor / Contract Manager	Division of Contracts and Purchasing Contact
Jessica Kaplan Deputy Executive Director Office of Curriculum, Instruction & Professional Development Division of Teaching and Learning	Bryan E Hester Procurement Analyst Instructional Service Procurement

PURPOSE

Authorization is requested on behalf of the Division of Teaching and Learning to contract with the two vendors listed below for science professional development and direct student services for STEM (science, technology, engineering, and math) education. All services will be provided at the discretion of participating schools, central offices operating on behalf of schools, or consortiums of schools.

DISCUSSION

To prepare young people for postsecondary STEM opportunities and to equip students with the skills needed for 21st-century careers, the DOE must increase content understanding via professional development and direct student services in life sciences, earth/space sciences, physical sciences, computer science, and engineering at all grade levels.

Services will include developing and providing programs to strengthen science teaching, promoting and reinforcing science knowledge, and building schools' capacity to support students pursuing careers in STEM fields. Students will be provided with opportunities to interact directly with the natural world, develop the skills they need to explain the world that surrounds them, practice problem-solving skills, develop positive science and engineering design attitudes, learn new science and engineering content, and increase their scientific and engineering literacy.

Vendors proposed for one or more of the following focus areas: 1) Educator Professional Development and Capacity Building, 2) Direct Services to Students, 3) Family Engagement and Enrichment Services for Parent and School Community Groups, and 4) Coordination and Facilitation of Science and STEM Fairs/Expos and Student Competitions.

Proposals were evaluated by a committee consisting of teachers, program directors, and science and technology instructional specialists. Proposals were scored based on program plan (25 points), organizational capacity (25 points), pricing (25 points), and demonstrated effectiveness (25 points). Successful vendors were required to achieve a minimum score of 80 points.

Eleven vendors were recommended for contract awards under the previous RA and two are recommended here.

Recommended vendors offer customized instructional programs to both teachers and students to strengthen teaching, promote and reinforce knowledge, and support students pursuing careers in science and STEM fields. Programs will employ a hands-on, inquiry- and design-based, Green Design Lab, or Healthy Habits approach, and will emphasize either communicating thoughts, procedure knowledge, or investigation and research. Program delivery methods include hands-on activities, customized workshops, explorations, tours, and trips.

Table A shows the proposed and negotiated average hourly rates for each vendor. The negotiated rates range from $247 for Bank Street College of Education's customized, standards-aligned Science Lesson Study program to $265 for 16 Hertz, LLC's project-based modules for grade cohorts 3-5, 6-8, and 9-12. Bank Street's program includes one-on-one coaching aimed at increasing teacher capacity to deliver rigorous, differentiated PreK-12 science instruction. Services include extensive needs assessments and foundational, midterm, and year-end workshops with specific emphasis on professional learning communities, special needs populations, and new teacher/administrator development.

16 Hertz's modules focus on student engagement in STEM activities while supporting classroom teachers in the delivery of hands-on, interactive STEM instruction. These featured modules on computer-assisted design (CAD), aeronautics, robotics, engineering, and physical computing programming can be delivered in semester-long, 2-3 hour workshops, or accelerated summer program formats, with optional ancillary, side-by-side teacher PD. The 2-3 hour workshops are designed to engage students intensely in a STEM project that results in a final product that the student can take home.

Negotiations resulted in an average cost savings of approximately 12 percent in program hourly rates to the DOE. All four vendors' prices were determined to be fair and reasonable based on a comparison with hourly rates for like services by vendors contracted under similar solicitations.

TABLE A. HOURLY RATES		
Awarded Vendor	**Original Average Hourly Rate**	**Negotiated Average Hourly Rate**
16 Hertz, LLC	$294	$265
Bank Street College of Education	$286	$247

16 Hertz, LLC and Bank Street College of Education are new vendors with an estimated minimum amount for a requirements contract of $30,000.

Background checks, including VENDEX, the Uniform Commercial Code, the Federal Tax Lien, and the System for Award Management, found no significant adverse information. Both vendors have therefore been determined to be responsible to provide approved services.

It is necessary to contract for these services because the DOE does not possess the expertise necessary to meet the objectives of this program.

The MTAC process is the preferred procurement method as this process allows the DOE to award contracts for similar services to multiple vendors in order to meet demand for such services and to offer a choice among vendors.

As a part of the MTAC process, all service requests over $25,000 must go through a competitive process for procurement of services. Users will be required to create a scope of services and conduct a mini-solicitation process among the awarded vendors in their component areas. Vendors will be required to provide a statement of work and pricing based on their contracted services, which will be evaluated and scored by the user. Upon completion of this mini-solicitation process, schools will be able to secure services via purchase order. This process is to ensure competition among the large number of vendors who have been awarded contracts as a result of this procurement. Future RAs for these services will be submitted in batches as new providers are presented for award of contracts.

TABLE B. AWARDED VENDORS		
Vendor's Name & Address	**Component(s)**	**Estimated Annual / Total Amount**
16 Hertz, LLC 1093 Flushing Avenue, Suite 179 Brooklyn, NY 11237	1, 2	$30,000 / $150,000
Bank Street College of Education 610 West 112th Street New York, NY 10025	1	$30,000 / $150,000

Item: 4

Description:

Professional development for school leaders and teachers in instructional strategies, student-center academic counseling services, sustainable leadership, quality teaching, and student achievement.

REQUEST FOR AUTHORIZATION (RA #13) FOR PROFESSIONAL DEVELOPMENT FOR SCHOOL LEADERS AND TEACHERS (MTAC R0929)

Estimated Annual / Total Contract Amount	Funding Source	Contract Term	Options	Procurement Method	Is Contract Retroactive?	Contract Type
$30,000 / $150,000	Various, including Tax Levy & Reimbursable	Five Years	None	Multiple Task Award Contract (MTAC)	No	Requirements

Vendor's Name & Address	Component(s)
EL Education, Inc. 247 West 35th Street, 8th Floor New York, NY 11201	1, 2, 5

Service Requestor / Contract Manager	Division of Contracts and Purchasing Contact
Morayo Oyemade Director, Contracts & Operations Division of Teaching and Learning	Bryan E. Hester Procurement Analyst Instructional Service Procurement

PURPOSE

Authorization is requested on behalf of the Division of Teaching and Learning (DTL) to contract with EL Education, Inc. (EL) for professional development for school leaders and teachers. These programs will be provided at the discretion of participating schools, central offices operating on behalf of schools, or consortiums of schools.

DISCUSSION

Professional development (PD) for school leaders and teachers is necessary to support instructional strategies that are designed to increase students' learning and academic success. These PD services include developing educators' expertise in integrating process and content for academic counseling services, sustainable leadership, postsecondary readiness, and improved teaching practices. The awarded vendors will provide professional development to school leadership and instructional staff across content areas, with an overall focus on improving the classroom environment for learning and leadership development, while providing best practices to prepare students for the challenges of postsecondary education and work. Awarded vendors will also assist administrators in understanding the concepts of sustainable leadership, particularly in an environment where principals have discretion in decision-making, and are accountable for student success.

Vendors proposed for one or more of the following focus areas: 1) Leadership Development, 2) Curriculum Development, 3) Postsecondary Readiness, 4) Classroom Management and Youth Development Principles, and 5) Shifting Pedagogical Practice: Data-Driven Decision-Making and Teacher Accountability.

Proposals were distributed to an evaluation committee that included former teachers, former principals, literacy coaches, math coaches, program directors, or operations staff from DOE central offices. Proposals were scored based on program plan (25 points), organizational capacity (25 points), pricing (25 points), and demonstrated effectiveness (25 points). Successful vendors were required to achieve a minimum score of 80 points.

Thirty-seven vendors were recommended for contract awards under previous RAs and one is recommended here.

Recommended vendors offer instructional programs and job-embedded professional development, including teacher and leader effectiveness training. These services help schools improve classroom instruction through focused conversations and data-driven inquiry and decision making. Program delivery methods include à la carte service packages, workshops, and coaching.

EL Education, Inc.'s professional development and coaching programs focus on implementing EL's standards-aligned ELA and Literacy Curriculum, which improves teacher effectiveness and student achievement in grades 3-8. On-site services are delivered using a combination of customized individual and group instruction based on school needs and the experience level of participants. EL's menu of workshops includes Teacher Empowerment, Data Analysis and Pedagogical Shifts, Transformational Literacy Practices, Learning Walks Facilitation, Complex Informative Text, and Student Engagement Assessment. Implementation support is provided via planning sessions, lesson modeling, follow-up debriefing, assessments, and online resources.

EL Education's average hourly pricing for the above-described PD programs was negotiated from $339 per hour to $209 per hour for a 38 percent price improvement. Pricing has been determined to be fair and reasonable based on a comparison with hourly rates for like services by vendors contracted under this solicitation.

For EL Education, Inc., a new vendor, the estimated annual contract amount is based on the minimum amount for Professional Development for School Leaders and Teachers requirements contracts of $30,000.

Background checks, including VENDEX, the Uniform Commercial Code, the Federal Tax Lien, and the System for Award Management, found no significant adverse information. EL Education has therefore been determined to be responsible to provide approved services.

It is necessary to contract for these services because the DOE does not possess the expertise necessary to meet the objectives of this program.

The MTAC process is the preferred procurement method as this process allows the DOE to award contracts for similar services to multiple vendors in order to meet demand for such services and to offer a choice among vendors.

As a part of the MTAC process, all service requests over $25,000 must go through a competitive process for procurement of services. Users will be required to create a scope of services and conduct a mini-solicitation process among the awarded vendors in their component areas. Vendors will be required to provide a statement of work and pricing based on their contracted services, which will be evaluated and scored by the user. Upon completion of this mini-solicitation process, schools will be able to secure services via purchase order. This process ensures competition among the large number of vendors who have been awarded contracts as a result of this procurement. Future RAs for these services will be submitted in batches as new providers are presented for award of contracts.

Item: 5

Description:

Negotiated Services Universal Prekindergarten Service - withdrawn

Item: 6

Description:

Training for parent leaders as specified in State law 25-90C.

REQUEST FOR AUTHORIZATION TO CONTRACT WITH DKBWAVE TRAINING AND CONSULTING. TO PROVIDE TRAINING TO PARENT LEADERS

Annual / Total Contract Amount	Funding Source	Contract Term	Options	Procurement Method	Is Contract Retroactive?	Contract Type
$58,000	Tax Levy	Six Months (1/3/16 – 6/30/16	None	Negotiated Services	No	Full Value

Vendor Name & Address	DKB Wave Training and Consulting 26 Donlavage Way West Orange, NJ 07052

Contract Manager	Lead Contracting Officer	Division of Contracts & Purchasing Contact
Sophia Hargraves Budget and Operations Analyst Office of Family and Community Engagement	Jesse Mojica Executive Director Office of Family and Community Engagement	Denesia Stroom-Blair Procurement Analyst Central Office Procurement

PURPOSE

Authorization is requested to contract with DKBWAVE Training and Consulting Inc. (DKBWAVE) to provide training to parent leaders who have elected to participate in the New York City (NYC) Parent Council Leadership Institute (PCLI).

DISCUSSION

NYC's 36 Community and Citywide Education Councils (CCECs) are charged by NYSED, Law Article 52-A, with promoting student achievement, advising and commenting on educational policies, and providing input to the Chancellor and the Panel for Educational Policy on matters of concern to the districts. In addition to the CCECs, 38 Presidents' Councils are responsible under Chancellor's Regulation A-660 for supporting Parent Associations and Parent-Teacher Associations (PA/PTAs), particularly with elections and dispute resolution. All council members are volunteers, and virtually all are parents of children currently attending NYC schools.

DKBWAVE provides a program of Introductory and Advanced Training courses, which include leadership training and coaching to help equip Council leaders with the skills they need. These courses will supplement existing training offered by the DOE's Division of Family and Community Engagement (FACE).

The goal of the program is to provide the tools and resources necessary to:

- Motivate and empower council members to take appropriate initiatives while operating within Council bylaws,
- Mediate conflicts among Council members and between the Council and its various stakeholders,
- Lead by building consensus rather than by giving orders,
- Unite volunteers around a shared vision, and
- Inspire teamwork among a group of diverse individuals.

Services began in September 2014 when FACE partnered with NYC Service, who identified the vendor, to provide training and coaching program for parent leaders. A pilot program took place from September 2014 through February 2015. Both quantitative and qualitative analysis of outcomes from these sessions suggests that DKBWAVE's training-and-coaching model has been highly effective and should be explored more widely as a vehicle for developing the volunteer parent leadership that is an essential component of the DOE community. The vendor was advised to submit a proposal to the Multiple Task Award Contract (MTAC) process which will ensure that future requirements for these services be handled in a more competitive manner.

Workshops are offered by DKBWAVE at a rate of $202 per hour for 10 or more participants. This rate compares favorably when compared to the rates currently contracted through the MTAC for Professional Development for School Leaders and Teachers, which range from $285.70 per hour for 10 participants to $666 per hour for 15 participants. In this basis, DKBWAVE's rate has been determined to be fair and reasonable.

The Contract Manager's office has experience with the vendor providing these services and confirms that they performed exceptionally. DCP's search of VENDEX, the federal debarment list, and DOE internal sources found no significant adverse information. The vendor has therefore been determined to be responsible.

Item: 7

Description:

Conversion of half dat to full day UPK services - withdrawn

Item: 8

Description:

Banking services for custodial engineers for the purchase of cleaning supplies and payment to custodial employees

REQUEST FOR AUTHORIZATION TO EXTEND A CONTRACT WITH HSBC TO PROVIDE BANKING SERVICES FOR CUSTODIANS - R0254

Estimated Contract Amount	Funding Source	Extension Term	Procurement Method	Is Contract Retroactive?	Contract Type
$385,000	Tax Levy	One Year (1/1/16 – 12/31/16)	Extension per Section 4-07 (b) of DOE Procurement Policy & Procedures	No	Requirements

Vendor Names & Addresses	HSBC Bank 452 Fifth Avenue New York, NY 10018

Contract Manager	Lead Contract Officer	Division of Contracts & Purchasing Contact
Volkert Braren Director Program Management Division of School Facilities	Salvatore Calderone Director of Field Operations Division of School Facilities	Marissa L. Procope Director

PURPOSE

Authorization is requested to extend an agreement with HSBC Bank (HSBC) to provide, manage, and monitor approximately 950 bank accounts used by custodians to operate and maintain (DOE) facilities.

DISCUSSION

The Division of School Facilities (DSF) is responsible for providing custodial services to approximately 1,260 DOE facilities. DSF will deposit approximately $400 million annually into a central DOE bank account, from which approximately $8.2M - $16M will be electronically transferred bi-weekly to equip custodian engineers with the financial resources required to operate and maintain their building assignments. These DOE accounts will earn interest at a fixed rate of 3.5 percent. All interest earned will be used to pay banking fees associated with the required services. The last 90 days of this term will be used for the transition and account disengagement should a new vendor be awarded.

Three vendors responded to the prior RFP, which resulted in the award of this contract to HSBC Bank. HSBC was selected for award because it offered the most competitive pricing and adhered to the DOE's terms and conditions and required services without exception.

A successor RFP was released in January 2015. An award has not been made, in large part because the DOE needed to amend the requirements in order to comply with recent changes to federal laws. As a result, a Chancellor's extension was issued and an additional extension is required to prevent a gap in service.

The estimated contract amount for the extension is consistent with current contract expenditures (although ultimately offset by earned interest and check printing fees) and usage is consistent with expectations when the contract was first awarded. Unit pricing, terms, and conditions will remain the same. Pricing has therefore been determined to be fair and reasonable with respect to this extension.

A comprehensive background check of HSBC Bank identified the following noteworthy information.

VENDEX reports a matter wherein HSBC failed to maintain an effective anti-money laundering (AML) program and conduct appropriate due diligence on its foreign correspondent account holders resulting in violations of the Bank Secrecy Act (BSA), the International Emergency Economic Powers Act (IEEPA) and the Trading with the Enemy Act (TWEA). Specifically an oversight at HSBC permitted narcotics traffickers and others to launder money through HSBC subsidiaries, and allowed hundreds of millions more in transactions with sanctioned countries. To address this matter, HSBC entered into a five-year deferred prosecution agreement with the U.S. Department of Justice and the United States Attorney's Office, a two-year deferred prosecution agreement with the New York County District Attorney, and consented to a cease and desist order and monetary penalty order with the Federal Reserve Board. In addition, HSBC Bank USA entered into a monetary penalty consent order with the U.S. Department of Treasury and a separate monetary penalty order with the Comptroller of the Currency. HSBC also entered into an undertaking with the U.K. Financial Services Authority to comply with certain forward-looking obligations with respect to AML and sanctions requirements over a five-year term. As a result of these agreements, in 2012, HSBC made payments in the amount of $1.89 billion and retained an independent monitor. Quarterly reports of the Department of Justice show that the bank is in compliance with its settlement agreements.

VENDEX also reports that HSBC was investigated with regard to its mortgage foreclosure practices. The following is a summary of foreclosure related investigations and litigation known to the DOE:

- In November 2011, the United States Attorney and the Inspector General for the Federal Housing Finance Agency Office of Inspector General settled civil fraud claims under the False Claims Act against HSBC and several affiliates in connection with HSBC's failure to oversee the reasonableness of foreclosure-related charges HSBC submitted to HUD's Federal Housing Administration and the Federal National Mortgage Association (Fannie Mae) for reimbursement. HSBC agreed to pay $10 million to resolve its liability in this matter.
- In February 2013, following a two year review of foreclosure practices by the Office of the Comptroller of the Currency, HSBC entered into an agreement under which HSBC and twelve other participating servicers are, in the aggregate, providing in excess of $9.3 billion in cash payments and other assistance to help eligible borrowers.
- In June 2013, the NYS Attorney General filed a lawsuit against HSBC Bank USA and HSBC Mortgage Corporation (USA) for not following state law related to foreclosure actions, putting homeowners at greater risk of losing their homes. After HSBC Mortgage filed an answer and motioned for summary judgment to dismiss the AG's Petition, a hearing was held on the motion in October 2013 and the parties currently await the court's decision.

In addition, the DOE is aware of the following additional noteworthy matters:

- Three lawsuits regarding credit card practices related to merchant antitrust class action claims, opt-out merchants, and Visa and MasterCard cardholders.
- Class actions were filed on behalf of HSBC Bank USA customers who allegedly incurred overdraft fees due to the posting order of debit card transactions. Discovery is currently underway in this matter.
- October 2012 litigation in Georgia asserts that discriminatory lending and servicing practices resulted in increased loan delinquencies, foreclosures and vacancies, causing several counties to incur damages including lost property tax revenues and increased municipal services costs. HSBC filed a motion for summary judgment that is currently pending.
- In March 2014, Cook County, Illinois filed an action pursuant to the Fair Housing Act. The action claimed that HSBC Holdings PLC cost minority residents hundreds of millions of dollars in extra expenses and damage to their tax bases by aggressively signing them to housing loans that were likely to fail. HSBC submitted a motion to dismiss that is currently pending.
- Four actions between 2011 and 2014 related to industry-wide practices. These actions allege conflicts of interest between several entities involved in lender placed insurance. HSBC moved to dismiss the complaints in these matters and a settlement was reached in one with an overall cap of $32 million for all payments.

- In January 2013, a class action was filed against HSBC related to private mortgage insurance practices that include allegations of potential conflicts of interest, self-dealing, insufficient disclosures and improper fees. The action is pending as of October 2015.
- Several class actions allege a conspiracy to restrain trade in violation of anti-trust laws in connection with credit default swaps. Litigation is ongoing.
- Several class actions in connection with foreign exchange that allege violation of anti-trust laws. HSBC submitted a motion to dismiss in March 2014 which is pending.
- Various HSBC affiliates are being investigated by several law enforcement and/or regulatory agencies in various countries about trading on the foreign exchange market.
- Various non-U.S. HSBC companies provided services to funds whose assets were invested with Madoff Securities. Plaintiffs have commenced Madoff-related proceedings against numerous defendants, including HSBC. Several cases against HSBC have been dismissed, though some remain pending.
- Federal Home Loan Mortgage Corporation ("Freddie Mac") sued HSBC Bank USA and other US Dollar London Interbank Offered Rate (Interbank Offered Rate) panel banks, and the British Bankers' Association. The lawsuit alleges improper practices in connection with the setting of the Interbank Offered Rate, and claims violation of the Sherman Act, breach of contract, and fraud arising out of various swap agreements entered into by Freddie Mac with the Interbank Offered Rate panel banks, including HSBC Bank USA. The matter is still pending. Three other actions connected with the Interbank Offered Rate have been consolidated with a U.S. dollar Libor Multi-District Litigation proceeding in the U.S. District Court for the Southern District of New York. These actions are subject to a stay imposed by the court.
- Various HSBC affiliates are named as defendants in a number of actions in connection with residential mortgage-backed securities ("RMBS") offerings, which generally allege that the offering documents for securities issued by securitization trusts contained material misstatements and omissions. These matters are currently pending.
- In September 2011, the Federal Housing Finance Agency filed an action against various HSBC entities seeking damages or rescission of mortgage-backed securities purchased by Fannie Mae and Freddie Mac that were either underwritten or sponsored by HSBC. Though some claims have been dismissed, discovery continues and the matter is pending.
- In December 2010 and February 2011, HSBC Bank USA received subpoenas from the SEC seeking production of documents and information relating to its involvement in specified private-label RMBS transactions. HSBC received a subpoena from the U.S. Department of Justice (U.S. Attorney's Office, Southern District of New York) seeking production of documents and information relating to loss mitigation efforts with respect to HUD-insured mortgages on residential properties located in the State of New York. These matters are currently pending.
- In January 2012, affiliated entity HSBC Securities (USA) Inc, was served with a Civil Investigative Demand by the Massachusetts State Attorney General seeking documents, information and testimony related to the sale of Residential Mortgage Backed Securities (RMBS) to public and private customers in the State of Massachusetts from January 2005 to the present.
- HSBC Bank USA has taken title to a number of foreclosed homes as trustee on behalf of various securitization trusts. As nominal record owner of these properties, HSBC Bank USA has been sued by municipalities and tenants alleging various violations of law, including laws regarding property upkeep and tenants' rights.
- Mortgage securitization pool trust litigation alleges that the Trusts have sustained losses in collateral value of at least $1.34 billion. The lawsuit seeks unspecified damages resulting from an alleged breach of the Trust Indenture Act, breach of fiduciary duties, and negligence as a result of an alleged failure to address the problem of defective Countrywide loans in the Trusts.

  Pending class action suits alleging a conspiracy to manipulate the price of gold, gold derivatives, silver, and silver derivatives.

The DOE believes that none of the matters reported are unusual or out-of-the-ordinary for a large, multi-national banking company. In light of the size of HSBC, which generated over $4 billion in revenues in 2013 and 2014, and is the world's second largest bank, the DOE does not believe these matters preclude a determination that the vendor is responsible.

Item: 9

Description:

Apple Computer

REQUEST FOR AUTHORIZATION TO CONTRACT WITH APPLE, INC. FOR COMPUTER HARDWARE, SOFTWARE, ACCESSORIES, AND RELATED PRODUCTS

Estimated Annual / Total Contract Amount	Funding Source	Contract Term	Renewal Options	Estimated Option Amount	Procurement Method	Is Contract Retroactive?	Contract Type
$35,000,000 / $105,000,000	Tax Levy	Three Years (1/01/16 - 12/31/19)	One 2-Year Option	$70,000,000	Sole Source Goods per Section 3-07 of the DOE Procurement Policy & Procedures	No	Requirements

Vendor Name & Address	Apple Inc. 1 Infinite Loop Cupertino, CA 95014

Service Requestor / Contract Manager	Division of Contracts & Purchasing Contact
Joseph Iacoviello Division of Instructional and Information Technology	Charles Blair Division of Contract of Purchasing (DCP)

PURPOSE

Authorization is requested to contract with Apple, Inc. to provide computer hardware, software, accessories, and related products.

DISCUSSION

In recent years, DOE has purchased over $35M of Apple products annually. The products are in high demand from schools, and demand is not expected to decline in the near future.

Products and services covered under this agreement will include Apple hardware and software products, Apple accessories, Apple training, and the AppleCare protection plan.

Numerous mini-software programs or applications ("apps") will also be covered. These have been created for various Apple devices, all running on Apple's mobile operating system, iOS. These apps are specifically designed for the Apple system by third-party vendors. They include a variety of educational apps for student use, including apps from the American Museum of Natural History, Dictonary.com, Atlas, and others. DOE-required customization of Apple products will also be included. The customization includes etching of computer casings, asset tags, and installation of CompuTrace tracking software.

Apple Authorized Resellers are prohibited from selling Apple products to private or public educational institutions in the United States. In addition, customization by any party other than Apple voids product warranties. For these reasons, the DOE must enter into a sole source agreement with Apple in order to purchase these products. In so doing, the DOE will obtain the best possible pricing from Apple.

The estimated contract amount is based on historical data. Apple is offering the DOE discounts equal to or greater than those offered to any other educational entity; pricing has therefore been determined to be fair and reasonable.

A comprehensive background check revealed the following noteworthy information for prime vendor Apple Inc. VENDEX reports a caution indicating that on April 11, 2012, the U.S. Department of Justice (DOJ) filed a civil antitrust action against Apple and five major book publishers in the U.S. District court for the southern district of New York alleging an unreasonable restraint of interstate trade and commerce in violation of the Sherman Act and seeking, among other things, injunctive relief, the court's declaration that the company's Agency agreements with the publishers are null and void and/or the court's reformation of such agreements. The DOJ complaint includes, but is not limited to assertions that the decision by the five publishers to shift to an agency model to sell E-books and their agreements with the company restrains trade in violation of the Sherman Act. As a result, the District Court entered a permanent injunction, which took effect on October 6, 2013, and will be in effect for five years unless the judgment is overturned on appeal, and is intended to prohibit Apple's ability to create an electronic media monopoly. While the Company appealed the District Court's decision, Apple has taken the necessary steps to comply with the terms of the court's order, including renegotiating agreements with the five major eBook publishers, updating its antitrust training program and hiring an antitrust compliance monitor. Pursuant to a settlement agreement reached by the parties in June 2014, any damages Apple may be obligated to pay will be determined by the outcome of the appellate decision.

In addition, the following adverse information was found:

- The Attorneys General for 33 states have alleged that Apple Inc. violated Section One of the Sherman Act by conspiring with several publishers to raise the prices of electronic books (e-books). According to the United States Department of Justice (DOJ) Anti-trust Division, in September 2013, a ruling was handed down that Apple and other publishers of e-books were found guilty of price fixing. The DOJ's final judgment includes a five year injunction and contains prohibitions against conduct found to be anticompetitive.

- The company's fiscal year 2014 10-K filed with the Securities and Exchange Commission (SEC) reports several antitrust suits regarding the Apple iPod/iTunes. These cases were filed between 2005 and 2007 and allege unlawful tying of music and video purchased in the iTunes Store with the purchase of iPods and unlawful acquisition or maintenance of monopoly market power. The cases were set for trial in November 2014. Apple provided a copy of the judgment dated January 5, 2015 indicating that a decision was entered in favor of Apple Inc. on all counts in the Amended Consolidated Complaint. The plaintiff was to receive nothing from Apple and Apple was to recover costs from the plaintiff.

- As of 2012, Apple's supplier report indicated that five of Apple's supplier facilities in China used child labor. In 2012, Apple found no cases of underage labor at any of its final assembly suppliers and continues to conduct regular audits and go deeper into its supply chain to ensure that there are no underage workers at any Apple supplier. In October 2015, Apple provided a copy of their Supplier Responsibility 2015 Progress Report to indicate compliance.

- In October 2003, the SEC concluded a probe into Apple's Irish tax strategies. The SEC had been looking into Apple's use of a network of international subsidiaries and tax strategies to avoid taxes in the U.S. The regulator concluded the review without taking any action against Apple.

- In September 2013, Taiwanese company Cable Thai Holding (CTH) sued Apple for allowing an app to be sold in Apple's iTunes store that enables users to watch soccer

matches for which CTH owns the broadcast rights. As of October 2015, Apple informs the DOE that this matter is still pending and that there are no material updates.

- In July 2013, China Labor Watch, a not-for-profit that monitors labor issues in China, claims that 86 labor violations were uncovered at Apple's Chinese suppliers including workers being under-paid or not paid at all. In September 2013, other news reports noted that Apple was being criticized for its labor practices in China, with charges of "ethical and legal" labor violations being made against the company. Apple has taken the position that it does not "allow suppliers to act unethically or in ways that threaten the rights of workers — even when local laws and customs permit such practices," and recently began implementing practices to ensure its suppliers' compliance.

- In August 2013, news reports indicated that China was looking into pollution claims against some of Apple's providers. Chinese environmental groups allege that these suppliers dump toxic waste from the Apple products they make, into rivers. Apple has publicly taken the stance that it expects its suppliers to reduce their environmental impact and recently began implementing practices to ensure its suppliers compliance with this goal. In October 2015, Apple provided its Supplier Responsibility 2015 Progress Report as well as its Environmental Responsibility 2015 Progress Report, which discuss changes Apple has implemented to educate and empower workers and help preserve environmental resources. Highlights include 633 audits performed covering 1.6 million workers in 2014, auditing suppliers in 19 countries in 2014, and calls made to 30,000 workers to ensure their rights were being addressed in 2014. Additionally, Apple's top priorities include reducing impact on climate change by using renewable energy sources and pioneering the use of greener materials in its products and processes.

- In July 2013, the Quebec Superior Court authorized a class action suit against Apple over alleged breaches of privacy allowing third parties to design apps which, when acquired, allowed the exposure of user's personal information. In October 2015, Apple informed the DOE that this matter is still pending and there are no material updates.

In light of the size of Apple, which has over 92,000 full-time employees, locations in the U.S., Europe and Asia, and revenue of over $42 billion in 2014, the DOE does not believe these matters preclude a determination that the vendor is responsible.

Apple's performance under prior contracts with the DOE has been satisfactory. This vendor has therefore been determined to be responsible.

Item: 10

Description:

Full-Day Universal Pre-Kindergarten Services-withdrawn

Item: 11

Description:

Half day universal pre-kindergarten services.

REQUEST FOR AUTHORIZATION TO CONTRACT WITH PROVIDERS OF EARLY CHILDHOOD EDUCATION SERVICES FOR FOUR-YEAR-OLDS IN THE UNIVERSAL PREKINDERGARTEN (UPK) PROGRAM (RFP R1089)

Estimated Annual / Total Contract Amounts	Funding Source	Contract Term	Options	Estimated Option Amount	Procurement Method	Is Contract Retroactive?	Contract Type
$1,162,453.30	New York State Education Department (NYSED), Tax Levy	One Year	Option to Extend for One 1-Year Period	$1,162,453.30	Request for Proposals (RFP) via an Innovative Procurement per Section 3-13 of DOE Procurement Policy & Procedures	Yes	Requirements

Vendor Name & Address	See attached table.

Contract Manager	Lead Contracting Officer	Division of Contracts & Purchasing Contact
Xanthe Jory Executive Director, Expansion Planning Division of Early Childhood Education	Sophia Pappas Chief Executive Officer Division of Early Childhood Education	Angela Edwards Chief Administrator Central Office Procurement

PURPOSE

Authorization is requested to contract with the vendors listed in the attached table to provide high-quality instructional programming as part of the Half-Day Universal Prekindergarten (UPK) program.

DISCUSSION

In 1997, the New York State Education Department (NYSED) established the Universal Prekindergarten program (UPK), which entitles eligible four-year-olds to receive 2 hours and 30 minutes of instructional programming for 180 days at no charge to families. New York State Education Law provides for a statewide Universal Prekindergarten Program and contracts for these services are necessary. State Regulations require that at least 10 percent of State funding goes to community-based organizations.

The UPK program operates citywide in public and non-public school settings. Achieving universal access to prekindergarten services depends on the partnerships that link community-based organizations with the NYC public school system.

This solicitation was conducted as a modified Request for Proposals (RFP) and not as a Request for Bids (RFB) because these services are administered directly to children and must be evaluated on nine (9) criteria listed in the RFP.

The Committee on Contracts (COC) approved the use of an Innovative Procurement method to release this modified RFP and receive proposals through the City's Health and Human Services (HHS) Accelerator System. The COC approval allowed the DOE to modify the UPK RFP for pre-qualification and delegate the HHS Accelerator Director, a non-DOE employee, with required authority to make prequalification decisions on the DOE's behalf. The intended use of this system is to streamline the receipt and evaluation of proposals in order to expedite the development and registration of contracts pursuant to the RFP.

The HHS Accelerator is essentially a document vault system for data and document exchange during the contract cycle or on an as needed basis. It allows for a more simplified RFP document and significant paper reduction since vendors will be required to submit their proposal and relevant documents through the system. The DOE will have access to vendors' documents, thus reducing the need to request documents separately for each procurement or contract action. Further, use of the HHS Accelerator online system increases speed and accuracy for proposer responsiveness checks.

A modified RFP was released on May 1, 2015, seeking to award eligible early childhood providers who are willing to collaborate with the DOE's Division of Early Childhood Education to implement the UPK Program in New York City districts with a need for more half-day seats than the DOE is able to offer within the New York City public school system. The deadline for proposals was May 29, 2015.

The Division of Early Childhood Education (DECE) performed a needs analysis to determine areas to target for half-day seats citywide. DECE measured demand by comparing the fill rate for full day pre-K seats within a given zip code to the full day pre-K seat fill rate city-wide, with the assumption that zip codes that were comparatively under-enrolled for full day seats likely had a strong unmet demand for half day seats. Zip codes with a full day fill rate below the citywide rate were considered to have demand; applicants from all other zip codes had an opportunity to present evidence of demand. The RFP requested vendors to submit proposals for any areas within the five boroughs and 32 community school districts.

Contract awards based on this RFP will be contingent upon the need of the area, capacity, evidence of community responsiveness submitted by the provider and other evidence of community need for half-day UPK seats, performance of the provider and availability of funding.

Of the 63 proposals received in HHS Accelerator, 49 were evaluated after removing the duplicates and non-responsive proposals during the responsiveness checks. There are 6 vendors recommended for award of half-day programs at 6 sites on this Request for Authorization. Additional sites will be included in subsequent RAs. Contracts for these services are necessary because the DOE does not possess the personnel to perform them.

The half-day program is a consistent weekly schedule for a program, including no less than two and one-half (2 ½) hours per day, five (5) days per week, between the hours of 8:00 am and 6:00 pm for 180 days of the school year including four (4) days scheduled for professional development per year. Any services provided on legal holidays must be approved by DECE and offered in compliance with DECE's Scheduling Policy.

Two competition pools were established for providers who were interested in offering half-day UPK services:

- A General competition pool was designated for all organizations who do not have 4410 pre-kindergarten classrooms pursuant to Section 4410 of New York State Education Law and were interested in providing half-day UPK starting in the 2015-2016 school year. This included current vendors who, at a particular site, wanted to offer new half-day seats at a site that did not currently have half-day UPK seats; offer full-day seats exclusively but wanted to add half-day seats at that site; or whose contract was due to expire at the end of the 2014-2015 school year.

- A 4410 Special Education Pre-K Provider competition pool was designated specifically for eligible 4410 special education providers who have New York State Education Department (NYSED) authorized 4410 classrooms at the site in which they were proposing half-day UPK seats. Eligible 4410 Special Education providers were those who currently offered special education pre-kindergarten programming authorized by NYSED.

DECE convened evaluation committees comprised of DECE field office UPK instructional and operational personnel to evaluate proposals under their guidance, as well as consultants with extensive experience in Early Childhood education. Proposals were scored using the following evaluation criteria:

RESPONSE CATEGORY	MAXIMUM POINTS
Organizational Experience and Effectiveness	11
Vision	5
Enrollment/Community Served	5
Instruction	17
Family Engagement	17
Program Management	10
Human Resource and Talent Management	15
Health and Safety	15
Facility	5

All vendors in areas where additional seats are needed who met the minimum qualifications, scored at or above the 55 points, proposed a viable site, and presented fair and reasonable prices are recommended for award. In areas where the capacity of vendors exceeded the estimated demand for services, awards were made based on vendor scores.

All of the vendors and their principal owners and officers were subject to a comprehensive background check and have been determined to be responsible. Noteworthy information was found for the following entity:

- Leake and Watts Services, Inc.
  A self-reported VENDEX caution concerning Leake and Watts Services, Inc. (Leake and Watts) discloses an investigation by the Yonkers Police Department (YPD), the NYS Office of Children and Family Services (OCFS) and the Yonkers Medical Examiner (YME) in connection to an incident resulting in the death of a sixteen-year-old resident on April 18, 2012. Subsequent to the investigation, the Westchester County DA's Office issued a press release stating that the investigation conducted in conjunction with YPD and OCFS concluded that no criminal charges were supported by the evidence. OCFS further concluded that there was no reasonable cause to suspect child abuse or maltreatment, no information was uncovered that a crime may have been committed against a child, and no evidence that a statutory or regulatory violation relative to the care and treatment of individuals was found. While there was no finding of abuse or neglect, OCFS issued a Plan of Corrective Action (POCA) to Leake and Watts after the incident. VRPA confirmed with OCFS that vendor completed the POCA and are currently in good standing with the agency.

  Leake and Watts previously reported in VENDEX that in 2010 it repaid $63,654.56 to the Office of the Attorney General's Medicaid Fraud Control Unit (MFCU) for foster care services it provided under an Administration for Children Service program. MFCU found that from 2003 through 2009, the vendor had submitted claims for the care of children who had not received services as they actually had been hospitalized and for transportation services provided to children by others. Leake and Watts provided receipt of payment from MFCU, accepting payment as full and complete satisfaction of all claims by the State for repayment of Medicaid funds for the matters above. The

MCFU indicated further that payment should not be construed as an admission of violation of any law, ordinance or regulation. The vendor asserts that no one in leadership at the time of these billings is still employed there, as new leadership, including a new Executive Director and Chief Financial Officer (CFO), assumed authority in 2009. Moreover, it implemented an Internal Auditing and Monitoring Policy to assure that it adheres to all applicable federal, state and local laws, including those regarding fiscal accountability. The policy identifies a Corporate Compliance Officer who facilitates audits working with the CFO in order to ensure controls, such as those pertaining to third party billing practices and fiscal control, are in place.

Leake and Watts discloses in VENDEX that they were subject of a fine of $1,000 from the Office for People With Developmental Disabilities' (OPWDD) on August 09, 2012, in connection to surveys of a facility located at 954 East 211th St., Bronx, NY. The surveys resulted in the issuance of two Statements of Deficiencies (SOD) for inability to provide an individual with sufficient protective oversight and protections necessary to address elopement behaviors. In addition to the fine, a Plan of Corrective Action (POCA) was requested. Leak and Watts took responsibility for their actions, submitted payment of the fine, and submitted and implemented the requested POCA. OPWDD's most recent audit of Leake and Watts completed on February 1, 2015, confirmed that their programs had "no deficiencies."

A National Labor Relations Board (NLRB) press release indicated that twelve employees at the Biondi Elementary School in the Bronx, NY and at the Biondi Middle and High School in Yonkers, NY, were laid off by Leake and Watts during bargaining for an initial collective-bargaining agreement. The NLRB was going to seek an order in federal court requiring Leake and Watts to reinstate the laid off employees, but before the petition for injunctive relief was filed in Federal court, a global settlement agreement was agreed to and approved by the NLRB administrative law court. While not admitting liability, the employer agreed to offer reinstatement to the laid off employees, to provide them with back pay, to pay out-of-pocket medical expenses incurred by bargaining unit employees as a result of the unilateral changes to the employees' health insurance, and to bargain in good faith with the union. Leake and Watts advised the DOE that this matter stemmed from confusion about its authority to conduct layoffs based on budget cuts and economic need and their responsibility to negotiate such layoffs with the union. Since this article, the NLRB has confirmed that all 12 employees concerned were reinstated with most of their claims resolved. They are currently working with counsel to resolve one outstanding claim of one employee with regards to health care costs.

Leake and Watts operates 27 sites with 1,228 employees in New York State with operating revenues in FY 2015 of over $79 million of which 3% is attributable to Medicaid. Accordingly, and in that it has implemented the corrective actions cited above, resolved all issues except for one labor claim that it is actively working to address, the DOE does not believe these matters preclude a find that the vendor is responsible.

Vendor	Site District	Site ID	Geographic Borough	Site Zip Code	Awarded # of Full-Day Seats	Negotiated Cost per Child for Full-Day Seats	Negotiated Annual Contract Amount for Full-Day Seats	Start-up costs for furniture and materials	Start-up costs for facilities/ permits
Associated Beth Rivka School for Girls	17	KAAS	K	11225	80	$ 3,902.00	$ 312,160.00	$ -	$ -
Cong. Machne Chaim, Inc.	20	KABC	K	11204	13	$ 4,233.50	$ 55,035.50	$ -	$ -
Bais Yaakov D Chassidei Gur	21	KABE	K	11204	13	$ 3,983.20	$ 51,781.60	$ -	$ -
Masores Bais Yaakov	22	KAHA	K	11230	60	$ 3,701.37	$ 222,082.20	$ -	$ -
Magen David Yeshivah	21	KBQH	K	11223	100	$ 4,238.44	$ 423,844.00	$ -	$ -
Leake and Watts Services, Inc.	8	XAHF	X	10473	25	$ 3,902.00	$ 97,550.00	$ -	$ -

Item: 12

Description:

Head start full day services for universal pre-kindergarten.

REQUEST FOR AUTHORIZATION TO CONTRACT WITH PROVIDERS OF EARLY CHILDHOOD EDUCATION SERVICES FOR FOUR-YEAR-OLDS IN THE UNIVERSAL PREKINDERGARTEN (UPK) PROGRAM (RFP R1101)

Estimated Annual / Total Contract Amounts	Funding Source	Contract Term	Options	Estimated Option Amount	Procurement Method	Is Contract Retroactive?	Contract Type
$382,147.68 / $1,146,443.04	New York State Education Department (NYSED), Tax Levy	Three Years	Options to Extend for Two 1-Year Periods or One 2-Year Period	$764,295.36	Request for Proposals (RFP) via an Innovative Procurement per Section 3-13 of DOE Procurement Policy & Procedures	Yes	Requirements

Vendor Name & Address	See attached table.

Contract Manager	Lead Contracting Officer	Division of Contracts & Purchasing Contact
Xanthe Jory Executive Director, Expansion Planning Division of Early Childhood Education	Sophia Pappas Chief Executive Officer Division of Early Childhood Education	Angela Edwards Chief Administrator Central Office Procurement

PURPOSE

Authorization is requested to contract with the vendors listed in the attached table to augment Direct Head Start services they already provide with high-quality instructional programming as part of the Full-Day Universal Prekindergarten (UPK) program.

DISCUSSION

In 1997, the New York State Education Department (NYSED) established the Universal Prekindergarten program (UPK), which entitles eligible four-year-olds to receive 2 hours and 30 minutes of instructional programming for 180 days at no charge to families. In March 2014, the State legislature approved a $300 million grant to expand full-day pre-kindergarten access in New York City. The legislation allows for high-quality UPK programs to be awarded new full-day UPK seats or to convert existing half-day seats to full-day seats.

The UPK program operates citywide in public and non-public school settings. Achieving universal access to prekindergarten services depends on the partnerships that link community-based organizations with the NYC public school system.

Additionally, New York State Education Law provides for a statewide Universal Prekindergarten Program and contracts for these services are necessary. State Regulations require that at least 10 percent of State funding goes to community-based organizations. Currently in New York City,

approximately 60 percent of UPK children are served in community-based organizations that contract directly with the DOE. This solicitation was conducted as a modified Request for Proposals (RFP) and not as a Request for Bids (RFB) because these services are administered directly to children and must be evaluated on nine (9) criteria listed in the RFP.

The Committee on Contracts (COC) approved the use of an Innovative Procurement method to release this modified RFP and receive proposals through the City's Health and Human Services (HHS) Accelerator System. The COC approval allowed the DOE to modify the UPK RFP for pre-qualification and delegate the HHS Accelerator Director, a non-DOE employee, with required authority to make prequalification decisions on the DOE's behalf. The intended use of this system is to streamline the receipt and evaluation of proposals in order to expedite the development and registration of contracts pursuant to the RFP.

The HHS Accelerator is essentially a document vault system for data and document exchange during the contract cycle or on an as needed basis. It allows for a more simplified RFP document and significant paper reduction since vendors will be required to submit their proposal and relevant documents through the system. The DOE will have access to vendors' documents, thus reducing the need to request documents separately for each procurement or contract action. Further, use of the HHS Accelerator online system increases speed and accuracy for proposer responsiveness checks.

A modified RFP was released on July 13, 2015, seeking to award eligible early childhood providers who are current Direct Head Start grantees and willing to collaborate with the DOE's Division of Early Childhood Education (DECE) to augment their programs with high-quality UPK instructional services. The RFP sought vendors who will continue implementing their Head Start program and will use supplemental UPK funding to ensure the program meets UPK expectations. For example, a Direct Head Start grantee may request additional funding in order to meet the UPK expectations of adult-child classroom ratios, funding for classroom coverage so that teachers are able to participate in DOE professional development, and/or funding to ensure 6 hours and 20 minutes of Prekindergarten for the Common Core (PKFCC) aligned instruction. The deadline for proposals was August 6, 2015.

The RFP requested vendors to submit proposals for any areas within the five boroughs and 32 community school districts.

Of the 34 proposals received in HHS Accelerator, 29 were evaluated after removing the duplicates and non-responsive proposals during the responsiveness checks. There are 4 vendors recommended for award of full-day programs at 7 sites on this Request for Authorization. Additional sites will be included in subsequent RAs. Contracts for these services are necessary because the DOE does not possess the personnel to perform them.

The program is a consistent weekly schedule for a full-day program (6 hours and 20 minutes per day), five days per week for 180 days including four (4) days scheduled for professional development per year. Any services provided on legal holidays must be approved by DECE and offered in compliance with DECE's Scheduling Policy.

The RFP was opened to current Direct Head Start grantees providers serving any of the City's 32 community school districts. All Direct Head Start grantees were eligible to contract for full-day seats with the DOE. This included current vendors who, at a particular site were:

- Current half-day UPK providers with continuing half-day seats in the 2015-2016 school year and wished to convert these seats to full-day seats
- Current half-day UPK providers with half-day seats expiring in the 2014-2015 school year
- Direct Head Start grantees who do not have a current UPK contract

DECE convened evaluation committees comprised of DECE field office UPK instructional and operational personnel to evaluate proposals under their guidance, as well as consultants with extensive experience in Early Childhood education. Proposals were scored using the following evaluation criteria:

RESPONSE CATEGORY	MAXIMUM POINTS
Organizational Experience and Effectiveness	3
Vision	2
Instruction	20
Family Engagement	20
Program Management	5
Human Resource and Talent Management	20
Health and Safety	5
Facility	5
Budget Narrative	20

All vendors in areas where additional seats are needed who met the minimum qualifications, scored at or above the 55 points, proposed a viable site, and presented fair and reasonable prices are recommended for award. In areas where the capacity of vendors exceeded the estimated demand for services, awards were made based on vendor scores.

All of the vendors and their principal owners and officers were subject to a comprehensive background check and have been determined to be responsible. Noteworthy information was found for the following entities:

- Northside Center for Child Development, Inc.
  A June 5, 2015 self-reported VENDEX caution reported that Northside Center for Child Development, Inc. ("Northside") was audited on October 13, 2014 by the NYC Department of Consumer Affairs ("DCA") with regard to the New York City Earned Sick Time Act policy that began in April 2014. DCA's review of their policies resulted in the execution of a consent order, dated April 13, 2015 requiring Northside to revise its paid sick leave policy and pay a $1,500 penalty. DCA's Paid Sick Leave Division confirmed that it has received the $1,500 payment from Northside. Moreover, the vendor has provided the DOE with their updated policy documentation for paid sick leave. DCA advises that it intends to verify the vendor's compliance with the consent order within the next six months.

  Northside also discloses in VENDEX a 2012 investigation which had been initiated on March 1, 2008 by the NYC Division of Human Rights into a former employee's allegation of disability discrimination employee. The employee subsequently withdrew the complaint. The VENDEX for Northside's subsidiary, Northside Center for Child Development Day School ("Day School") discloses an investigation by the NYS Education Department's NYC Regional Office for Special Education regarding a complaint filed by a parent regarding the provision of a special education program and services for her son. The investigation was initiated on August 9, 2007 and resulted in the NYS Special Education Quality Assurance Office (NYSEQAO) requiring the Day School to submit a Corrective Action Plan (CAP). On October 25, 2007, NYSEQAO indicated that it had reviewed Day School's submitted CAP, concluded that the vendor fulfilled the requirements of the CAP and the matter was considered to be fully resolved.

  As all matters have been addressed satisfactorily and in light of the vendor's satisfactory performance with the DOE, we do not believe they preclude a determination the vendor is responsible.

- Catholic Charities Neighborhood Services, Inc.
  Catholic Charities Neighborhood Services, Inc. (Catholic Charities) received nine unsatisfactory evaluations from 2006-2010 from the Department of Youth and Community Development (DYCD), the Department of Health and Mental Hygiene (DOHMH), and Administration for Children's Services (ACS). Subsequent to 2010, Catholic Charities has been awarded 176 contracts, including 43 from DYCD, 27 from DOHMH and 14 from ACS and has received 10 excellent, 128 good and 66 fair evaluations, which include numerous excellent and good evaluations from DYCD, DOHMH and ACS. Further, since 2010 the DOE has awarded three contracts to Catholic Charities and provided it with a satisfactory performance evaluation.

  In light of the history of performance by Catholic Charities since 2010, the DOE does not believe the prior unsatisfactory performances preclude a determination that the vendor is responsible.

- Birch Family Services, Inc.
  A NYC Department of Investigation (DOI) Vendor Name Check (VNC) revealed that in August 2014, DOI determined that Birch Family Services (Birch) had maintained forged medical documents in the files of three employees at the Birch Family Services Queens Early Childhood Center, and as a result, Birch was not allowed to begin providing UPK services. On August 30, 2014 the DOE sent Birch a letter requesting that it address this matter and also informing Birch that it was not allowed to begin providing UPK services. In response, Birch submitted a satisfactory Corrective Action Plan (CAP) which included the following steps it would take to correct the situation and prevent its reoccurrence:
  - Establishment of a special committee of the Board of Directors to oversee the development and implementation of the CAP.
  - Reorganization of the agency's corporate compliance department and replacement of the compliance officer.
  - Revision of the code of conduct and policies related to disciplinary action, staff health forms, and credentialing, including the specification of credentials falsification or lapse as "zero tolerance" misconduct.
  - In person training of all agency staff in the revised code of conduct and related policies and procedures.
  - Revised training for human resources and site personnel in the detection of fraudulent documents.
  - Implementation of more stringent credentials checking and monitoring procedures within human resources and the sites.

  In addition, a Special Commissioner of Investigation for the New York City School District (SCI) investigation of an occupational therapist employed initially by a third party agency and then by Birch Family Services as an independent contractor, substantiated aggressive and inappropriate behavior toward a nonverbal 13-year-old male student. The therapist worked for a separate agency that assigned him to service the student at home, and after the agency closed six months later, the therapist continued the service as an independent contractor through Birch. While Birch was not the subject of the investigation, it has advised the DOE that the therapist was immediately suspended without pay subsequent to the allegations, did not perform any additional work for Birch and his employment was terminated.

  Additionally, a February 11, 2015 self-reported caution was disclosed in Birch's VENDEX, disclosing that a Civil Investigative Demand letter ("CID") from the U.S. Attorney Southern District of New York was issued requesting documentation in connection with claims submitted to the Medicaid Program by Birch. Birch's counsel has advised that the vendor complied with the Demand and provided all requested documentation to the U.S. Attorney on or about March 10, 2015 and May 1, 2015. Birch informs us that it has not received any further response or communication pertaining to the CID since that time.

In light of the CAP which satisfactorily addressed DOI's findings and how Birch has addressed the SCI investigation and cooperated with the document request by the U.S. Attorney, the DOE does not believe these matters preclude a determination that the vendor is responsible.

Vendor	Site District	Site ID	Geographic Borough	Site Zip Code	Awarded # of Full-Day Seats	Negotiated Cost per Child for Full-Day Seats	Negotiated Annual Contract Amount for Full-Day Seats	Start-up costs for furniture and materials	Start-up costs for facilities/ permits
Bedford Stuyvesant Early Childhood Development Center, Inc	16	KAZQ	K	11221	18	$ 1,289.73	$ 23,215.14	$ -	$ -
Northside Center for Child Development, Inc.	13	KBLL	K	11201	38	$ 1,390.77	$ 52,849.26	$ -	$ -
Bedford Stuyvesant Early Childhood Development Center, Inc	16	KBNG	K	11221	40	$ 1,613.85	$ 64,554.00	$ -	$ -
Catholic Charities Neighborhood Services Inc	19	KBUW	K	11207	60	$ 2,214.27	$ 132,856.20	$ -	$ -
Catholic Charities Neighborhood Services Inc	20	KBWC	K	11220	20	$ 2,706.20	$ 54,124.00	$ -	$ -
Birch Family Services, Inc.	18	KCGP	K	11203	14	$ 2,698.00	$ 37,772.00	$ -	$ -
Birch Family Services, Inc.	29	QAXI	Q	11434	36	$ 466.03	$ 16,777.08	$ -	$ -

Item: 13

Description:

Universal pre-kindergarten full day services.

REQUEST FOR AUTHORIZATION TO CONTRACT WITH PROVIDERS OF EARLY CHILDHOOD EDUCATION SERVICES FOR FOUR-YEAR-OLDS IN THE UNIVERSAL PREKINDERGARTEN (UPK) PROGRAM (RFP R1102)

Estimated Annual / Total Contract Amounts	Funding Source	Contract Term	Options	Estimated Option Amount	Procurement Method	Is Contract Retroactive?	Contract Type
$621,000.00 / $1,893,800	New York State Education Department (NYSED), Tax Levy	Three Years	Options to Extend for Two 1-Year Periods or One 2-Year Period	$1,242,000.00	Request for Proposals (RFP) via an Innovative Procurement per Section 3-13 of DOE Procurement Policy & Procedures	Yes	Requirements

Vendor Name & Address	See attached table.

Contract Manager	Lead Contracting Officer	Division of Contracts & Purchasing Contact
Xanthe Jory Executive Director, Expansion Planning Division of Early Childhood Education	Sophia Pappas Chief Executive Officer Division of Early Childhood Education	Angela Edwards Chief Administrator Central Office Procurement

PURPOSE

Authorization is requested to contract with the vendors listed in the attached table to provide high-quality instructional programming as part of the Full-Day Universal Prekindergarten (UPK) program.

DISCUSSION

In 1997, the New York State Education Department (NYSED) established the Universal Prekindergarten program (UPK), which entitles eligible four-year-olds to receive 2 hours and 30 minutes of instructional programming for 180 days at no charge to families. In March 2014, the State legislature approved a $300 million grant to expand full-day pre-kindergarten access in New York City. The legislation allows for high-quality UPK programs to be awarded new full-day UPK seats or to convert existing half-day seats to full-day seats.

The UPK program operates citywide in public and non-public school settings. Achieving universal access to prekindergarten services depends on the partnerships that link community-based organizations with the NYC public school system.

Additionally, New York State Education Law provides for a statewide Universal Prekindergarten Program and contracts for these services are necessary. State Regulations require that at least 10 percent of State funding goes to community-based organizations. Currently in New York City, approximately 60 percent of UPK children are served in community-based organizations that contract

directly with the DOE. This solicitation was conducted as a modified Request for Proposals (RFP) and not as a Request for Bids (RFB) because these services are administered directly to children and must be evaluated on nine (9) criteria listed in the RFP.

The Committee on Contracts (COC) approved the use of an Innovative Procurement method to release this modified RFP and receive proposals through the City's Health and Human Services (HHS) Accelerator System. The COC approval allowed the DOE to modify the UPK RFP for pre-qualification and delegate the HHS Accelerator Director, a non-DOE employee, with required authority to make prequalification decisions on the DOE's behalf. The intended use of this system is to streamline the receipt and evaluation of proposals in order to expedite the development and registration of contracts pursuant to the RFP.

The HHS Accelerator is essentially a document vault system for data and document exchange during the contract cycle or on an as needed basis. It allows for a more simplified RFP document and significant paper reduction since vendors will be required to submit their proposal and relevant documents through the system. The DOE will have access to vendors' documents, thus reducing the need to request documents separately for each procurement or contract action. Further, use of the HHS Accelerator online system increases speed and accuracy for proposer responsiveness checks.

A modified RFP was released on June 18, 2015, seeking to award eligible early childhood providers who are willing to collaborate with the DOE's Division of Early Childhood Education to implement the UPK Program in New York City districts with a need for more seats than the DOE is able to offer within the New York City public school system. The deadline for proposals was July 2, 2015.

The Division of Early Childhood Education (DECE) performed a needs analysis to determine areas to target for full-day seats citywide. DECE measures this seat gap by evaluating the difference between the estimated number of Pre-K aged students in each district and zone, and the number of Pre-K seats available. The RFP requested vendors to submit proposals for any areas within the five boroughs and 32 community school districts.

Contract awards pursuant to this RFP are contingent upon the need of the area, capacity and performance of the provider and availability of funding. Additionally, demand was also factored into award decisions based on the most up-to-date demand data available at the time of award.

Of the 90 proposals received in HHS Accelerator, 58 were evaluated after removing the duplicates and non-responsive proposals during the responsiveness checks. There are 2 vendors recommended for award of full-day programs at 2 sites on this Request for Authorization. Additional sites will be included in subsequent RAs. Contracts for these services are necessary because the DOE does not possess the personnel to perform them.

The program provides at least 180 total days of Pre-K for All services (based on a 5 day week), or the required 216 calendar days (based on a 6 day week), including four (4) days scheduled for professional development per year. The program may be scheduled for a maximum of 6 days per week (Monday through Friday and either Saturday or Sunday), *provided* that if the program operates 6 days a week, then the program must satisfy the minimum weekly hours requirement (31 hours, 40 minutes in a typical week) and operate for a minimum of 216 calendar days each school year.

The UPK full-day expansion allows for limited start-up funds for programs beginning new full-day programs. Vendors requiring start-up funds were offered additional resources outside of their negotiated per child rate for the first year of their contract. These funds will support facilities improvements necessary for their required Department of Mental Health and Hygiene permit, other small upgrades, or for furniture and other materials for their new classrooms.

DECE convened evaluation committees comprised of DECE field office UPK instructional and operational personnel to evaluate proposals under their guidance, as well as consultants with extensive experience in Early Childhood education. Proposals were scored using the following evaluation criteria:

RESPONSE CATEGORY	MAXIMUM POINTS
Organizational Experience and Effectiveness	11
Vision	5
Enrollment/Community Served	5
Instruction	17
Family Engagement	17
Program Management	10
Human Resource and Talent Management	15
Health and Safety	15
Facility	5

All vendors in areas where additional seats are needed who met the minimum qualifications, scored at or above the 55 points, proposed a viable site, and presented fair and reasonable prices are recommended for award. In areas where the capacity of vendors exceeded the estimated demand for services, awards were made based on vendor scores.

All of the vendors and their principal owners and officers were subject to a comprehensive background check and have been determined to be responsible.

Vendor	Site District	Site ID	Geographic Borough	Site Zip Code	Awarded # of Full-Day Seats	Negotiated Cost per Child for Full-Day Seats	Negotiated Annual Contract Amount for Full-Day Seats	Start-up costs for furniture and materials	Start-up costs for facilities/ permits
Glorious and Gifted Early Childhood Center, Inc.	16	KCMT	K	11221	20	$ 10,350.00	$ 207,000.00	$ 12,722.00	$ -
Yeshiva of Central Queens	25	QAIM	Q	11367	40	$ 10,350.00	$ 414,000.00	$ 18,078.00	$ -

Item: 14

Description:

Universal Pre-Kindergarten Service for Full Day-withdrawn

Item: 15

Description:

Automated External Defibrillators (AED) and accessories for schools.

REQUEST FOR AUTHORIZATION TO PURCHASE PHILIPS AUTOMATED EXTERNAL DEFIBRILLATOR (AED) EQUIPMENT VIA A DEPARTMENT OF CITYWIDE ADMINISTRATIVE SERVICES (DCAS) CONTRACT

Estimate Annual / Total Contract Amount	Funding Source	Contract Term	Options	Procurement Method	Is Contract Retroactive?	Contract Type
$1,200,000 / $6,000,000	Tax Levy	3/01/15 - 2/29/20	None	New York City Department of Citywide Administrative Services (DCAS)	No	Requirements

Vendor Name & Address	Philips Electronics North America PO Box 496538 Atlanta, GA 30384-6538

Contract Manager	Lead Contracting Officer	Division of Contracts & Purchasing Contact
Donovan Lauther Administration Education Officer Office of School Health	Ava Mopper Director Office of School Health	Kevin Humphrey Procurement Analyst Division of Contracts & Purchasing

PURPOSE

Authorization is requested to purchase Philips Heartstart FRX (FRX) model Automated External Defibrillators (AED) and accessories by accessing a New York City Department of Citywide Administrative Services (DCAS) contract with Philips Healthcare.

DISCUSSION

This contract provides Automated External Defibrillator (AED) equipment to DOE personnel who must utilize this equipment to comply with a New York State Education Law that requires at least one functional AED at each school site during school hours and at all school-sponsored events, including athletic events.

Initially, DCAS procured this commodity through a competitive bid process and Philips was the lowest bidder. In 2012, DCAS's bid requirements were brand-specific to ensure consistency in training and greater product familiarity across their spectrum of end-users. Sixty-six Philip's distributors were solicited and one vendor, the product manufacturer, proposed and was awarded the contract.

The Office of School Health (OSH) purchased Philips Heartstart FR2 (FR2) model AEDs via a DCAS contract in 2003 and 2012 and via a New York State Office of General Services (OGS) contract in 2009. The FRX model is an upgrade of the discontinued FR2 model. Like DCAS, the DOE has FR2 AED units in use. Therefore, purchasing the FRX through the Philips series will provide continuity in the use of training equipment.

Using the DCAS contract allows OSH to share in the savings achieved by combining the DOE's volume with that of other City agencies. The estimated amount of $1,200,000 annually is based on past expenditures.

The current DCAS contract expired on February 28, 2015. It is not in the best interest of the DOE to bid this contract separately because of the lower costs realized through aggregate purchases made possible by the DCAS contract.

The DOE will receive a 40 percent discount off the list price on all AEDs and accessories, a five percent greater discount than the current 35 percent discount available under the OGS contract that the DOE has purchased from in the past. Given that DCAS has procured this commodity competitively and contract prices are lower than current market prices, these prices have been determined to be fair and reasonable.

DCAS has determined Philips Electronics North America to be a responsible vendor on the basis of past performance. Therefore, Philips Electronic has been determined to be a responsible vendor with respect to this contract.

Item: 16

Description:

Add-on: Amended RA to include start-up allocations for facilities and permits to support UPK FD services.

REQUEST FOR AUTHORIZATION TO AMEND CONTRACTS WITH PROVIDERS OF EARLY CHILDHOOD EDUCATION SERVICES FOR FOUR-YEAR-OLDS IN THE UNIVERSAL PREKINDERGARTEN (UPK) PROGRAM (RFP R1079)

Total Contract Increase	Funding Source	Contract Term	Options	Procurement Method	Is Contract Retroactive?	Contract Type
$74,250.00	New York State Education Department (NYSED), Tax Levy	Three Years	Options to Extend for Two 1-Year Periods or One 2-Year Period	Contract Amendment per Section 4-08 of DOE Procurement Policy & Procedures	No	Requirements

Vendor Name & Address	See attached table.

Contract Manager	Lead Contracting Officer	Division of Contracts & Purchasing Contact
Xanthe Jory Executive Director, Expansion Planning Division of Early Childhood Education	Sophia Pappas Chief Executive Officer Division of Early Childhood Education	Angela Edwards Chief Administrator Central Office Procurement

PURPOSE

Authorization is requested to to amend Universal Prekindergarten (UPK) contracts with the vendors listed below. The overall term of the contracts remains unchanged.

DISCUSSION

In August and September of 2015, the Panel for Educational Policy (Panel) approved a Request for Authorization (RA) to contract with the listed vendors to provide high-quality instructional programming as part of the UPK program.

While the UPK full-day expansion allows for limited start-up funds for programs beginning new full-day programs, and while these vendors would have normally qualified for such funds, the original requests authorizing contracts with these vendors did not include these costs due to the vendors' debarment status with the the New York State Worker's Compensation Board. The NYC Law Department has since confirmed that start-up for facilities & permits is not considered public works for the purposes of the UPK program and is allowable for the UPK program. Therefore, this RA seeks to amend the previously approved RA to now include start-up allocations for facilities & permits in the amounts detailed in the table below.

Panel	Vendor Name	Site District	Site ID	Geographic Borough	Site Zip Code	Awarded Full-Day Seats	Cost Per Child	Annual Amount	Start-up Costs for Furniture and Materials	Start-up Costs for Facilities and Permits	Total Contract Increase Amount
8/26/15	Congregation Machna Shlava	20	KBIZ	K	11219	60	$10,860.00	$651,600.00	$42,453.00	$58,250.00	**$58,250.00**
9/29/15	One World Project - Brooklyn Inc.	15	KCPN	K	11218	16	$12,600.00	$201,600.00	$14,998.00	$16,000.00	**$16,000.00**

Item: 17

Description:

Add-on: Amended RA to include start-up allocations for facilities and permits to support UPK FD services.

REQUEST FOR AUTHORIZATION TO AMEND A CONTRACT WITH A PROVIDER OF EARLY CHILDHOOD EDUCATION SERVICES FOR FOUR-YEAR-OLDS IN THE UNIVERSAL PREKINDERGARTEN (UPK) PROGRAM (RFP R1102)

Total Contract Increase	Funding Source	Contract Term	Options	Procurement Method	Is Contract Retroactive?	Contract Type
$16,000.00	New York State Education Department (NYSED), Tax Levy	Three Years	Options to Extend for Two 1-Year Periods or One 2-Year Period	Contract Amendment per Section 4-08 of DOE Procurement Policy & Procedures	No	Requirements

Vendor Name & Address	See attached table.

Contract Manager	Lead Contracting Officer	Division of Contracts & Purchasing Contact
Xanthe Jory Executive Director, Expansion Planning Division of Early Childhood Education	Sophia Pappas Chief Executive Officer Division of Early Childhood Education	Angela Edwards Chief Administrator Central Office Procurement

PURPOSE

Authorization is requested to to amend Universal Prekindergarten (UPK) contracts with the vendor listed below. The overall term of the contract remains unchanged.

DISCUSSION

In September of 2015, the Panel for Educational Policy (Panel) approved a Request for Authorization (RA) to contract with the listed vendor to provide high-quality instructional programming as part of the UPK program.

While the UPK full-day expansion allows for limited start-up funds for programs beginning new full-day programs, and while this vendor would have normally qualified for such funds, the original request authorizing a contract with this vendor did not include these costs due to the vendor's debarment status with the the New York State Worker's Compensation Board. The NYC Law Department has since confirmed that start-up for facilities & permits is not considered public works for the purposes of the UPK program and is allowable for the UPK program. Therefore, this RA seeks to amend the previously approved RA to now include start-up allocations for facilities & permits in the amounts detailed in the table below.

Panel	Vendor Name	Site District	Site ID	Geographic Borough	Site Zip Code	Awarded Full-Day Seats	Cost Per Child	Annual Amount	Start-up Costs for Furniture and Materials	Start-up Costs for Facilities and Permits	Total Contract Increase Amount
9/29/15	D'LITTLE STAR DAY CARE INC.	28	QBN!	Q	11435	29	$10,290.00	$298,410.00	$30,000.00	$16,000.00	**$16,000.00**